

Q2: Course Library Updates

FCA LIBRARY

Updated Courses

- AIFM Regulations
- Appointed Representatives Regulatory Responsibilities
- CASS Overview
- CASS 7 and 7A - Client Money Rules and Client Money Distribution and Transfer
- CASS 8 - Mandates
- CASS 9 - Information to Clients
- CASS 10 - CASS Resolution Pack
- COBS - Appropriateness
- COBS - Client Categorisation
- COBS - Client Communications and Financial Promotions
- COBS - Client Communications High Risk Investments
- COBS - Dealing and Managing
- Complaints Handling for Managers
- Complaints Handling for the Mortgage Market
- ICOBS - Cancellation and Claims
- ICOBS - Distance Communications
- ICOBS - General Matters
- Introduction to the Consumer Duty
- MCOB - APRC, Shortfalls and Charges
- MCOB - General Standards
- Operational Resilience
- Outsourcing and Third Party Risk Management
- Overview of the Consumer Duty
- Packaged Retail and Insurance-based Investment Products (PRIIPs) Regulation
- Senior Management Arrangements Systems and Controls
- Sustainability Disclosure Requirements (SDR) and Investment Labels

New Courses

- Car Finance Mis-selling
- Conduct Rule 1: Act with Integrity
- Conduct Rule 2: Act with due skill, care and diligence
- Conduct Rule 3: Be open and cooperative with the FCA, the PRA and other regulators
- Conduct Rule 4: Pay due regard to the interests of customers and treat them fairly
- Conduct Rule 5: Observe proper standards of market conduct
- Conduct Rule 6: Act to deliver good outcomes for retail customers
- SDR and Investment Labels
- Understanding Failure to Prevent Fraud (FTPF) in Financial Services
- Understanding Failure to Prevent Fraud (FTPF) in Financial Services for Managers

[Learn more](#)

COMPLIANCE BITES

Updated Courses

- Understanding Bribery

New Courses

- Failure to Prevent Fraud (FTPF): Offence
- Failure to Prevent Fraud (FTPF): Prevention
- Failure to Prevent Fraud (FTPF): Scope
- Failure to Prevent Fraud (FTPF): Types of Fraud

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INSURANCE LIBRARY

Updated Courses

- Appointed Representatives Regulatory Responsibilities
- CASS Overview
- CASS 5 - Client Money - Insurance Distribution Activity
- CASS 8 - Mandates
- CASS 9 - Information to Clients
- Conduct Risk
- Contract Certainty
- ICOBS - Cancellation and Claims
- ICOBS - Distance Communications
- ICOBS - General Matters
- Insurance Distribution Directive (IDD)
- Introduction to the Consumer Duty
- Operational Resilience
- Overview of the Consumer Duty
- Packaged Retail and Insurance-based Investment Products (PRIIPs) Regulation
- Principles of Insurance
- Senior Management Arrangements Systems and Controls
- The UK Insurance Market

New Courses

- Conduct Rule 1: Act with Integrity
- Conduct Rule 2: Act with due skill, care and diligence
- Conduct Rule 3: Be open and cooperative with the FCA, the PRA and other regulators
- Conduct Rule 4: Pay due regard to the interests of customers and treat them fairly
- Conduct Rule 5: Observe proper standards of market conduct
- Conduct Rule 6: Act to deliver good outcomes for retail customers

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ESSENTIALS LIBRARY

Updated Courses

- Responsible Use of Social Media
- Identity Crime
- Preventing the Facilitation of Tax Evasion
- Harassment in the Workplace
- Equality and Diversity for Managers
- Equality and Diversity in the Workplace
- Anti-Bribery Training
- Financial Crime Prevention
- Data Protection
- Fraud Prevention for Managers
- Fraud Prevention
- Preventing Sexual Harassment
- Preventing Sexual Harassment for Managers
- Business Ethics and Integrity
- Speak Up
- Unconscious Bias
- Unconscious Bias for Managers
- Records Management

New Courses

- Understanding Failure to Prevent Fraud (FTPF)
- Understanding Failure to Prevent Fraud (FTPF) for Managers

ESSENTIALS REFRESHER LIBRARY

Updated Courses

- Understanding Failure to Prevent Fraud (FTPF) (Refresher)

[Learn more](#)

SECTOR-SPECIFIC MICROLEARNING

We have launched two sets of sector-specific microlearning modules in the Charity and Hospitality sectors.

Charity Microlearning:

- AML and CTF for Charities
- Anti-Bribery for Charities
- Charity Purposes and Rules
- Communicating with the Charity Commission
- Data Protection for Charities
- Gifts and Hospitality for Charities
- Making Decisions at a Charity
- Managing Charity Finances
- Managing Conflicts of Interest in a Charity
- Political Activity and Campaigning by Charities
- Safeguarding for Charities and Trustees

Hospitality Microlearning:

- Advanced Food Safety
- Alcohol Licensing
- Food Allergies and Natasha's Law
- Food Safety
- Food Waste
- GDPR Awareness for Hospitality
- HACCP Awareness
- Personal Hygiene
- Pest and Waste Control
- Sexual Harassment in Hospitality
- The Tipping Act
- Venue Licensing

